

SEED

Intellectual Property Law Group PLLC

701 Fifth Avenue, Suite 6300
Seattle WA 98104-7092 USA
Facsimile: (206) 682-6031
Telephone: (206) 622-4900
www.seedlaw.com



December 13, 2001

Brian L. Johnson
BrianJ@seedlaw.com

Facsimile Transmission14 pages including this page

TO: EXAMINER: Kurt M. Eaton
ART UNIT: 2823
Fax No.: (703) 872-9319

RE: U.S. Patent Application No. 09/632,388
SEMICONDUCTOR STRUCTURE HAVING AN IMPROVED PRE-
METAL DIELECTRIC STACK AND METHOD FOR FORMING THE
SAME
Attorney Docket No.: 850063.529C1

☐ Urgent ☐ For Review ☒ Please Confirm Receipt ☐ Please Reply ASAP

Comments:**PLEASE DELIVER IMMEDIATELY****TO****EXAMINER: Kurt M. Eaton****ART UNIT: 2823****FAX COPY RECEIVED****DEC 13 2001****TECHNOLOGY CENTER 2800**

If you do not receive all pages, please call Michelle DeYoung at (206) 622-4900 or fax our office.

Transmission Information: Date _____ Time _____ By _____

241773_1

CONFIDENTIALITY NOTICE: The information contained in this facsimile message is legally privileged and/or confidential information intended only for the use of the individual or entity named below. If you are not the intended recipient, you are hereby notified that any use, dissemination, distribution, or copying of this facsimile or its content is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone and return the original facsimile message to us by mail or destroy it without making a copy. Thank you.

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☒


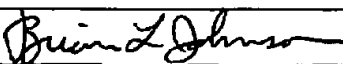
Approved for use through 10/31/2002. OMB 0651-0031

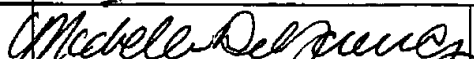
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(To be used for all correspondence after initial filing)</i>	Application Number	09/632,388
	Filing Date	August 3, 2000
	First Named Inventor	Shin Hwa Li
	Group Art Unit	2823
	Examiner Name	Kurt M. Eaton
	Attorney Docket No.	850063.529C1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <u>Facsimile Cover Sheet</u> _____ _____ _____
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Individual Name	Brian L. Johnson	 00500 PATENT TRADEMARK OFFICE
Signature		
Date	December 13, 2001	

CERTIFICATE OF FACSIMILE TRANSMISSION		
I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.		
Typed or printed name	Michelle DeYoung	
Signature		Date: December 13, 2001

241772_1

FAX COPY RECEIVED

DEC 13 2001

12/15/01

**RESPONSE UNDER 37 C.F.R. § 1.116
EXPEDITED PROCEDURE - EXAMINING GROUP 2800**

PATENT

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

December 13, 2001

Date

Michelle DeYoung

Michelle DeYoung

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shin Hwa Li et al.
Application No. : 09/632,388
Filed : August 3, 2000
For : SEMICONDUCTOR STRUCTURE HAVING AN IMPROVED
PRE-METAL DIELECTRIC STACK AND METHOD FOR
FORMING THE SAME

Examiner : Kurt M. Eaton
Art Unit : 2823
Docket No. : 850063.529C1
Date : December 13, 2001

Box AF
Commissioner for Patents
Washington, DC 20231

RESPONSE UNDER 37 C.F.R. § 1.116

Commissioner for Patents:

In response to the Office Action dated September 26, 2001, please amend the application as follows:

In the Claims:

Claims 1-4, 6-7, 9-10, 20, and 22-27, are currently pending. Please cancel claim 21. Claim 20 has been amended. For the Examiner's convenience, all of the currently pending claims are shown here in their entirety.

FAX COPY RECEIVED

DEC 13 2001

TECHNOLOGY CENTER 2800